

1 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

EV 355229096

2 Serial No.
3 Filing Date
3 Inventorship Wickham
4 Applicant Microsoft Corporation
4 Attorney's Docket No. MS1-1552US
5 Title: Software Updating System and Method

6 **INFORMATION DISCLOSURE STATEMENT**

7 *References -- See Attached Form PTO-1449*

8 **REMARKS**

9 The citations listed, copies of non-US patent references attached, are
10 submitted in compliance with the duty of disclosure defined in 37 CFR §1.56. The
11 Examiner is requested to make these citations of official record in this application.

13 Respectfully submitted,

14
15 Date: 9-15-03 By: David S. Thompson
16 David S. Thompson
17 Reg. No. 37,954

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EV355229096

Substitute for form 1449A/PTO				<i>Complete if Known</i>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	
Filing Date				First Named Inventor	Wickham
Group Art Unit				Examiner Name	
Sheet	1	of	2	Attorney Docket Number	MS1-1552US

Examiner Signature		Date Considered	
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***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO				C m pl t if Kn wn	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	
(use as many sheets as necessary)				Filing Date	
Sheet	2	of	2	First Named Inventor	Wickham
				Group Art Unit	
				Examiner Name	
				Attorney Docket Number	MS1-1552US

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T ²
		BARRY, EVELYN, Ph.D., "Software Evolution, Volatility and Lifecycle Maintenance Patterns: A Longitudinal Analysis Synopsis," Mays Business School, Texas A&M University, IEEE Computer Society, 2002, pp. 474-477.			
		ORSO, ALESSANDRO et al., "A Technique for Dynamic Updating of Java Software," College of Computing, Georgia Institute of Technology, IEEE Computer Society, 2002, pp. 649-658.			
		SHIRABAD, JELBER SAYYAD, et al., "Supporting Software Maintenance by Mining Software Update Records," School of Information, Technology & Engineering, University of Ottawa, Ottawa, Ontario, Canada, IEEE Computer Society, 2001, pp. 22-31.			
		BIALEK, ROBERT PAWEL, "The Architecture of a Dynamically Updatable, Component-based System," Work-in-progress Report, March 2002, Dept. of Computer Science, University of Copenhagen, Copenhagen, Denmark, IEEE Computer Society, pp. 1012-1016.			
		The Institute of Electrical and Electronics Engineers, Inc., "IEEE Standard for Software Quality Assurance Plans," Copyright 1998, title pages i-vi and pp. 1-15.			
		WAQAR, UMER, et al., "A Formal Approach for Software Maintenance," Proceedings of the International Conference on Software Maintenance (ICSM02), 2002 IEEE, 10 pages.			
		Manufacturing Engineering, Tech Front, "Windows-Based Software Update," February 1996, pp. 28-30.			

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